

FORM NO. MGT-7

[Pursuant to sub-Section(1) of section 92 of the Companies Act, 2013 and sub-rule (1) of rule 11 of the Companies (Management and Administration) Rules, 2014]



सत्यमेव जयते

Annual Return (other than OPCs and Small Companies)

Form language ☒ English ☐ Hindi

Refer the instruction kit for filing the form.

I. REGISTRATION AND OTHER DETAILS

(i) * Corporate Identification Number (CIN) of the company

L65910MH1986PLC165645

Pre-fill

Global Location Number (GLN) of the company

* Permanent Account Number (PAN) of the company

AAACR5054J

(ii) (a) Name of the company

RELIANCE CAPITAL LTD

(b) Registered office address

Trade World, B-Wing, 7th Floor, Kamala Mills
Compound, Senapati Bapat Marg, Lower Parel,
Mumbai
Mumbai City
Maharashtra
400013



(c) *e-mail ID of the company

Compsec.RCL@relianceada.co



(d) *Telephone number with STD code

02241584000

(e) Website

(iii) Date of Incorporation

05/03/1986

(iv)	Type of the Company	Category of the Company	Sub-category of the Company
	Public Company	Company limited by shares	Indian Non-Government company

(v) Whether company is having share capital

☒ Yes

☐ No

(vi) *Whether shares listed on recognized Stock Exchange(s)

☒ Yes

☐ No

(a) Details of stock exchanges where shares are listed

S. No.	Stock Exchange Name	Code
1	BSE Limited	1
2	National Stock Exchange of India Limited	1,024

(b) CIN of the Registrar and Transfer Agent

U72400TG2017PLC117649

Pre-fill

Name of the Registrar and Transfer Agent

KFIN TECHNOLOGIES LIMITED

Registered office address of the Registrar and Transfer Agents

Selenium, Tower B, Plot No- 31 & 32,
Financial District, Nanakramguda, Serilingampally

(vii) *Financial year From date 01/04/2021 (DD/MM/YYYY) To date 31/03/2022 (DD/MM/YYYY)

(viii) *Whether Annual general meeting (AGM) held ☒ Yes ☐ No

(a) If yes, date of AGM

(b) Due date of AGM

30/09/2022

(c) Whether any extension for AGM granted

☐ Yes ☒ No

II. PRINCIPAL BUSINESS ACTIVITIES OF THE COMPANY

*Number of business activities

1

S.No	Main Activity group code	Description of Main Activity group	Business Activity Code	Description of Business Activity	% of turnover of the company
1	K	Financial and insurance Service	K8	Other financial activities	100

III. PARTICULARS OF HOLDING, SUBSIDIARY AND ASSOCIATE COMPANIES (INCLUDING JOINT VENTURES)

*No. of Companies for which information is to be given

24

Pre-fill All

S.No	Name of the company	CIN / FCRN	Holding/ Subsidiary/Associate/ Joint Venture	% of shares held
1	RELIANCE CAPITAL PENSION FUND +	U66020MH2009PLC191340	Subsidiary	51
2	RELIANCE GENERAL INSURANCE CO. LTD. +	U66603MH2000PLC128300	Subsidiary	100

3	RELIANCE NIPPON LIFE INSURANCE +	U66010MH2001PLC167089	Subsidiary	51
4	RELIANCE HEALTH INSURANCE +	U66000MH2017PLC294682	Subsidiary	100
5	RELIANCE COMMERCIAL FINANCE +	U66010MH2000PLC128301	Subsidiary	100
6	RELIANCE SECURITIES LIMITED +	U65990MH2005PLC154052	Subsidiary	100
7	RELIANCE COMMODITIES LIMITED +	U51100MH2005PLC154611	Subsidiary	100
8	RELIANCE FINANCIAL LIMITED	U65990MH2005PLC155675	Subsidiary	100
9	RELIANCE WEALTH MANAGEMENT +	U65999MH2009PLC189285	Subsidiary	100
10	RELIANCE MONEY SOLUTIONS +	U72900MH2000PTC128384	Subsidiary	100
11	RELIANCE MONEY PRECIOUS METALS +	U74999MH2006PTC165070	Subsidiary	100
12	RELIANCE EXCHANGENEXT LIMITED +	U72900MH2000PLC127630	Subsidiary	100
13	RELIANCE CORPORATE ADVISORS +	U74990MH2009PLC189525	Subsidiary	100
14	QUANT CAPITAL PRIVATE LIMITED +	U67120MH2007PTC176440	Subsidiary	74
15	QUANT BROKING PRIVATE LIMITED +	U67110MH2007PTC291657	Subsidiary	74
16	QUANT SECURITIES PRIVATE LIMITED +	U65993MH2007PTC290204	Subsidiary	74
17	QUANT INVESTMENT SERVICES +	U74999MH2011PTC289416	Subsidiary	74
18	GULLFOSS ENTERPRISES PRIVATE +	U29100MH2019PTC320092	Subsidiary	100
19	RELIANCE UNDERWATER SYSTEMS +	U29241GJ2013PTC077604	Subsidiary	50
20	AMMOLITE HOLDINGS LIMITED +		Associate	50
21	RELIANCE ASSET RECONSTRUCTION +	U45200MH2006PLC161190	Associate	49
22	GLOBAL WIND POWER LIMITED +	U74140MH2007PLC168078	Associate	26
23	REINPLAST ADVANCED COMPOUNDS +	U29300KA2019PTC123664	Associate	26
24	RELIANCE HOME FINANCE LIMITED +	L67190MH2008PLC183216	Associate	47.91

IV. SHARE CAPITAL, DEBENTURES AND OTHER SECURITIES OF THE COMPANY

(i) *SHARE CAPITAL

(a) Equity share capital

Particulars	Authorised capital	Issued capital	Subscribed capital	Paid up capital
Total number of equity shares	300,000,000	254,053,108	254,053,108	252,708,902
Total amount of equity shares (in Rupees)	3,000,000,000	2,540,531,080	2,540,531,080	2,527,089,020

Number of classes

1

Class of Shares	Authorised capital	Issued capital	Subscribed capital	Paid up capital
Number of equity shares	300,000,000	254,053,108	254,053,108	252,708,902
Nominal value per share (in rupees)	10	10	10	10
Total amount of equity shares (in rupees)	3,000,000,000	2,540,531,080	2,540,531,080	2,527,089,020

(b) Preference share capital

Particulars	Authorised capital	Issued capital	Subscribed capital	Paid-up capital
Total number of preference shares	100,000,000	0	0	0
Total amount of preference shares (in rupees)	1,000,000,000	0	0	0

Number of classes

1

Class of shares	Authorised capital	Issued capital	Subscribed capital	Paid up capital
Number of preference shares	100,000,000	0	0	0
Nominal value per share (in rupees)	10	10	10	10
Total amount of preference shares (in rupees)	1,000,000,000	0	0	0

(c) Unclassified share capital

Particulars	Authorised Capital
Total amount of unclassified shares	0

(d) Break-up of paid-up share capital

Class of shares	Number of shares			Total nominal amount	Total Paid-up amount	Total premium
Equity shares	Physical	DEMAT	Total			
At the beginning of the year	2,693,910	250,014,992	252708902	2,527,089,0	2,527,089,0	

Increase during the year	0	92,291	92291	0	0	0
i. Public Issues	0	0	0	0	0	0
ii. Rights issue	0	0	0	0	0	0
iii. Bonus issue	0	0	0	0	0	0
iv. Private Placement/ Preferential allotment	0	0	0	0	0	0
v. ESOPs	0	0	0	0	0	0
vi. Sweat equity shares allotted	0	0	0	0	0	0
vii. Conversion of Preference share	0	0	0	0	0	0
viii. Conversion of Debentures	0	0	0	0	0	0
ix. GDRs/ADRs	0	0	0	0	0	0
x. Others, specify <div></div>		92,291	92291			
Decrease during the year	92,291	0	92291	0	0	0
i. Buy-back of shares	0	0	0	0	0	0
ii. Shares forfeited	0	0	0	0	0	0
iii. Reduction of share capital	0	0	0	0	0	0
iv. Others, specify <div></div>	92,291		92291			
At the end of the year	2,601,619	250,107,283	252708902	2,527,089,0	2,527,089,0	
Preference shares						
At the beginning of the year	0	0	0	0	0	
Increase during the year	0	0	0	0	0	0
i. Issues of shares	0	0	0	0	0	0
ii. Re-issue of forfeited shares	0	0	0	0	0	0
iii. Others, specify <div></div>						
Decrease during the year	0	0	0	0	0	0
i. Redemption of shares	0	0	0	0	0	0

ii. Shares forfeited	0	0	0	0	0	0
iii. Reduction of share capital	0	0	0	0	0	0
iv. Others, specify						
At the end of the year	0	0	0	0	0	

ISIN of the equity shares of the company

INE013A01015

(ii) Details of stock split/consolidation during the year (for each class of shares)

0

Class of shares		(i)	(ii)	(iii)
Before split / Consolidation	Number of shares			
	Face value per share			
After split / Consolidation	Number of shares			
	Face value per share			

(iii) Details of shares/Debentures Transfers since closure date of last financial year (or in the case of the first return at any time since the incorporation of the company) *

☐ Nil

[Details being provided in a CD/Digital Media]

☒ Yes ☐ No ☐ Not Applicable

Separate sheet attached for details of transfers

☐ Yes ☐ No

Note: In case list of transfer exceeds 10, option for submission as a separate sheet attachment or submission in a CD/Digital Media may be shown.

Date of the previous annual general meeting		
Date of registration of transfer (Date Month Year)		
Type of transfer		1 - Equity, 2- Preference Shares, 3 - Debentures, 4 - Stock
Number of Shares/ Debentures/ Units Transferred		Amount per Share/ Debenture/Unit (in Rs.)

Ledger Folio of Transferor				<input type="text"/>			
Transferor's Name	<input type="text"/>		<input type="text"/>		<input type="text"/>		
	Surname		middle name		first name		
Ledger Folio of Transferee				<input type="text"/>			
Transferee's Name	<input type="text"/>		<input type="text"/>		<input type="text"/>		
	Surname		middle name		first name		

Date of registration of transfer (Date Month Year)				<input type="text"/>			
Type of transfer	<input type="text"/>	1 - Equity, 2- Preference Shares,3 - Debentures, 4 - Stock					
Number of Shares/ Debentures/ Units Transferred	<input type="text"/>		Amount per Share/ Debenture/Unit (in Rs.)	<input type="text"/>			
Ledger Folio of Transferor				<input type="text"/>			
Transferor's Name	<input type="text"/>		<input type="text"/>		<input type="text"/>		
	Surname		middle name		first name		
Ledger Folio of Transferee				<input type="text"/>			
Transferee's Name	<input type="text"/>		<input type="text"/>		<input type="text"/>		
	Surname		middle name		first name		

(iv) *Debentures (Outstanding as at the end of financial year)

Particulars	Number of units	Nominal value per unit	Total value
Non-convertible debentures	207,196	100000, 1000000	162,595,600,000
Partly convertible debentures	0	0	0
Fully convertible debentures	0	0	0

Particulars	Number of units	Nominal value per unit	Total value
Total			162,595,600,000

Details of debentures

Class of debentures	Outstanding as at the beginning of the year	Increase during the year	Decrease during the year	Outstanding as at the end of the year
Non-convertible debentures	162,595,600,000	0	0	162,595,600,000
Partly convertible debentures	0	0	0	0
Fully convertible debentures	0	0	0	0

(v) Securities (other than shares and debentures)

0

Type of Securities	Number of Securities	Nominal Value of each Unit	Total Nominal Value	Paid up Value of each Unit	Total Paid up Value
Total					

V. *Turnover and net worth of the company (as defined in the Companies Act, 2013)

(i) Turnover

(ii) Net worth of the Company

VI. (a) *SHARE HOLDING PATTERN - Promoters

S. No.	Category	Equity		Preference	
		Number of shares	Percentage	Number of shares	Percentage
1.	Individual/Hindu Undivided Family				
	(i) Indian	1,166,014	0.46	0	
	(ii) Non-resident Indian (NRI)	0	0	0	
	(iii) Foreign national (other than NRI)	0	0	0	
2.	Government				
	(i) Central Government	0	0	0	
	(ii) State Government	0	0	0	
	(iii) Government companies	0	0	0	

3.	Insurance companies	0	0	0	
4.	Banks	0	0	0	
5.	Financial institutions	0	0	0	
6.	Foreign institutional investors	0	0	0	
7.	Mutual funds	0	0	0	
8.	Venture capital	0	0	0	
9.	Body corporate (not mentioned above)	2,634,405	1.04	0	
10.	Others	0	0	0	
	Total	3,800,419	1.5	0	0

Total number of shareholders (promoters)

8

(b) *SHARE HOLDING PATTERN - Public/Other than promoters

S. No.	Category	Equity		Preference	
		Number of shares	Percentage	Number of shares	Percentage
1.	Individual/Hindu Undivided Family				
	(i) Indian	215,842,743	85.41	0	
	(ii) Non-resident Indian (NRI)	4,929,908	1.95	0	
	(iii) Foreign national (other than NRI)	0	0	0	
2.	Government				
	(i) Central Government	39,500	0.02	0	
	(ii) State Government	0	0	0	
	(iii) Government companies	0	0	0	
3.	Insurance companies	7,665,190	3.03	0	
4.	Banks	56,651	0.02	0	
5.	Financial institutions	52	0	0	
6.	Foreign institutional investors	2,142,293	0.85	0	
7.	Mutual funds	12,245	0	0	

8.	Venture capital	0	0	0	
9.	Body corporate (not mentioned above)	13,838,344	5.48	0	
10.	Others	4,381,557	1.73	0	
	Total	248,908,483	98.49	0	0

Total number of shareholders (other than promoters)

789,798

**Total number of shareholders (Promoters+Public/
Other than promoters)**

789,806

(c) *Details of Foreign institutional investors' (FIIs) holding shares of the company

0

Name of the FII	Address	Date of Incorporation	Country of Incorporation	Number of shares held	% of shares held

VII. *NUMBER OF PROMOTERS, MEMBERS, DEBENTURE HOLDERS
(Details, Promoters, Members (other than promoters), Debenture holders)

Details	At the beginning of the year	At the end of the year
Promoters	8	8
Members (other than promoters)	748,371	789,798
Debenture holders	1,638	1,644

VIII. DETAILS OF DIRECTORS AND KEY MANAGERIAL PERSONNEL

(A) *Composition of Board of Directors

Category	Number of directors at the beginning of the year		Number of directors at the end of the year		Percentage of shares held by directors as at the end of year	
	Executive	Non-executive	Executive	Non-executive	Executive	Non-executive
A. Promoter	0	1	0	0	0	0
B. Non-Promoter	1	4	0	0	0	0
(i) Non-Independent	1	1	0	0	0	0
(ii) Independent	0	3	0	0	0	0
C. Nominee Directors representing	0	0	0	0	0	0
(i) Banks & FIs	0	0	0	0	0	0

(ii) Investing institutions	0	0	0	0	0	0
(iii) Government	0	0	0	0	0	0
(iv) Small share holders	0	0	0	0	0	0
(v) Others	0	0	0	0	0	0
Total	1	5	0	0	0	0

Number of Directors and Key managerial personnel (who is not director) as on the financial year end date

3

(B) (i) *Details of directors and Key managerial personnel as on the closure of financial year

Name	DIN/PAN	Designation	Number of equity share(s) held	Date of cessation (after closure of financial year : If any)
Dhananjay Tiwari	AAYPT7102N			
Vijesh Thota	AEMPV7875C			
Atul Tandon	ABNPT1472D			

(ii) Particulars of change in director(s) and Key managerial personnel during the year

9

Name	DIN/PAN	Designation at the beginning / during the financial year	Date of appointment/ change in designation/ cessation	Nature of change (Appointment/ Change in designation/ Cessation)
Anil D. Ambani	00004878	Director	29/11/2021	Cessation
Chhaya Virani	06953556	Director	29/11/2021	Cessation
Rahul Sarin	02275722	Director	29/11/2021	Cessation
Thomas Mathew	05203948	Director	29/11/2021	Cessation
Angarai Natrajan Sethu	01098398	Director	29/11/2021	Cessation
Dhananjay Tiwari	08382961	Director	29/11/2021	Cessation
Dhananjay Tiwari	AAYPT7102N	CEO	15/03/2022	Cessation
Aman Gudral	APKPG1087J	CFO	30/06/2021	Cessation
Vijesh Thota	AEMPV7875C	CFO	01/07/2021	Appointment

IX. MEETINGS OF MEMBERS/CLASS OF MEMBERS/BOARD/COMMITTEES OF THE BOARD OF DIRECTORS

A. MEMBERS/CLASS /REQUISITIONED/NCLT/COURT CONVENED MEETINGS

Number of meetings held

1

Type of meeting	Date of meeting	Total Number of Members entitled to attend meeting	Attendance	
			Number of members attended	% of total shareholding
Annual General Meeting	14/09/2021	805,178	324	1.55

B. BOARD MEETINGS

*Number of meetings held

7

S. No.	Date of meeting	Total Number of directors associated as on the date of meeting	Attendance	
			Number of directors attended	% of attendance
1	08/05/2021	6	6	100
2	19/05/2021	6	6	100
3	26/06/2021	6	6	100
4	06/08/2021	6	6	100
5	30/08/2021	6	6	100
6	11/10/2021	6	6	100
7	12/11/2021	6	6	100

C. COMMITTEE MEETINGS

Number of meetings held

9

S. No.	Type of meeting	Date of meeting	Total Number of Members as on the date of the meeting	Attendance	
				Number of members attended	% of attendance
1	Audit Committee	08/05/2021	4	4	100
2	Audit Committee	06/08/2021	4	4	100
3	Audit Committee	12/11/2021	4	4	100
4	Stakeholders Forum	12/11/2021	3	3	100
5	Group Risk Management	08/05/2021	2	2	100
6	Group Risk Management	06/08/2021	2	2	100
7	Group Risk Management	12/11/2021	2	2	100
8	Nomination and Remuneration	08/05/2021	3	3	100

S. No.	Type of meeting	Date of meeting	Total Number of Members as on the date of the meeting	Attendance	
				Number of members attended	% of attendance
9	Nomination an	08/05/2021	3	3	100

X. *REMUNERATION OF DIRECTORS AND KEY MANAGERIAL PERSONNEL

☐ Nil

Number of Managing Director, Whole-time Directors and/or Manager whose remuneration details to be entered

1

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
1	Dhananjay Tiwari	Director	0	0	0	0	0
	Total		0	0	0	0	0

Number of CEO, CFO and Company secretary whose remuneration details to be entered

4

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
1	Dhananjay Tiwari	CEO	0	0	0	0	0
2	Atul Tandon	Company Secre	18,858,404	0	0	1,364,221	20,222,625
3	Vijesh Thota	CFO	9,622,521	0	0	337,500	9,960,021
4	Aman Gudral	CFO	802,938	0	0	60,500	863,438
	Total		29,283,863	0	0	1,762,221	31,046,084

Number of other directors whose remuneration details to be entered

5

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
1	Anil D. Ambani	Director	0	0	0	280,000	280,000
2	Chhaya Virani	Director	0	0	0	640,000	640,000
3	Rahul Sarin	Director	0	0	0	640,000	640,000
4	Thomas Mathew	Director	0	0	0	520,000	520,000
5	A. N. Sethuraman	Director	0	0	0	280,000	280,000
	Total		0	0	0	2,360,000	2,360,000

XI. MATTERS RELATED TO CERTIFICATION OF COMPLIANCES AND DISCLOSURES

- * A. Whether the company has made compliances and disclosures in respect of applicable provisions of the Companies Act, 2013 during the year ☒ Yes ☐ No

B. If No, give reasons/observations

XII. PENALTY AND PUNISHMENT - DETAILS THEREOF

(A) DETAILS OF PENALTIES / PUNISHMENT IMPOSED ON COMPANY/DIRECTORS /OFFICERS ☒ Nil

Name of the company/ directors/ officers	Name of the court/ concerned Authority	Date of Order	Name of the Act and section under which penalised / punished	Details of penalty/ punishment	Details of appeal (if any) including present status

(B) DETAILS OF COMPOUNDING OF OFFENCES ☒ Nil

Name of the company/ directors/ officers	Name of the court/ concerned Authority	Date of Order	Name of the Act and section under which offence committed	Particulars of offence	Amount of compounding (in Rupees)

XIII. Whether complete list of shareholders, debenture holders has been enclosed as an attachment

☐ Yes ☒ No

(In case of 'No', submit the details separately through the method specified in instruction kit)

XIV. COMPLIANCE OF SUB-SECTION (2) OF SECTION 92, IN CASE OF LISTED COMPANIES

In case of a listed company or a company having paid up share capital of Ten Crore rupees or more or turnover of Fifty Crore rupees or more, details of company secretary in whole time practice certifying the annual return in Form MGT-8.

Name

Aashish Bhatt

Whether associate or fellow

☒ Associate ☐ Fellow

Certificate of practice number

7023

I/We certify that:

- (a) The return states the facts, as they stood on the date of the closure of the financial year aforesaid correctly and adequately.
(b) Unless otherwise expressly stated to the contrary elsewhere in this Return, the Company has complied with all the provisions of the Act during the financial year.

Declaration

I am Authorised by the Board of Directors of the company vide resolution no. ... dated

(DD/MM/YYYY) to sign this form and declare that all the requirements of the Companies Act, 2013 and the rules made thereunder in respect of the subject matter of this form and matters incidental thereto have been complied with. I further declare that:

1. Whatever is stated in this form and in the attachments thereto is true, correct and complete and no information material to the subject matter of this form has been suppressed or concealed and is as per the original records maintained by the company.
2. All the required attachments have been completely and legibly attached to this form.

Note: Attention is also drawn to the provisions of Section 447, section 448 and 449 of the Companies Act, 2013 which provide for punishment for fraud, punishment for false statement and punishment for false evidence respectively.

To be digitally signed by

Director

DIN of the director

To be digitally signed by

☒ Company Secretary

☐ Company secretary in practice

Membership number

11672

Certificate of practice number

Attachments

1. List of share holders, debenture holders
2. Approval letter for extension of AGM;
3. Copy of MGT-8;
4. Optional Attachment(s), if any

Attach

Attach

Attach

Attach

List of attachments

Remove attachment

Modify

Check Form

Prescrutiny

Submit

This eForm has been taken on file maintained by the Registrar of Companies through electronic mode and on the basis of statement of correctness given by the company